

## CERTIFICATE OF EXPRESS MAIL

## EXPRESS MAIL NO. EL564077638US

1c979 U.S. PTO 09/943699

I hereby certify that this Information Disclosure Statement, Form PTO-1449, and a copy of 1 citation are being deposited as EXPRESS MAIL this date with the United States Postal Service in an envelope addressed to the Commissioner for Patents, Box PATENT APPLICATION, Washington, D.C. 20231, on:

Date August 31, 2001 By

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

David Marquardt et al.

Docket No.

SFI 1000

Serial No.:

Not yet assigned

Group Art Unit:

Not yet assigned

Filing Date:

August 31, 2001

Examiner:

Not yet assigned

Title:

LAMINATED WEAR RING

## INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §§1.97-1.98

Assistant Commissioner For Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.98, Applicants hereby notify the U.S. Patent and Trademark Office of the document listed on the attached Form PTO-1449. A copy of the cited document is submitted herewith. The cited document generally relates to a multi-layer carrier retaining ring for chemical mechanical polishing. The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

The submission of the listed document is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants reserve the right to dispute the listed document as prior art during examination. Further, Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove the listed document as a competent reference against the claims of the present application. Furthermore, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

This Information Disclosure Statement is being submitted pursuant to 37 C.F.R. § 1.97(b)(1) and therefore, no fee under 37 C.F.R. § 1.17(p) is required.

Respectfully submitted,

Date: August 31, 2001

Reg. No. 42,525

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Form PTO-1449 U.S. Department of Commerce (Rev. 8-83) Patent and Trademark Office				ATTY. DOCKET NO. SFI 1000		SERIAL NO. Not yet assigned	
INFORMATION DISCLOSURE CITATION				APPLICANTS David Marquardt et al.  FILING DATE August 31, 2001  APPLICANTS  GROUP ART UNIT			
(Use several sheets if necessary)				FILING DATE August 31, 2001		OROUP ART UNIT Not yet assigned	
			U.S. PATEN	T DOCUMENTS			
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
INTINE	A	6,251,215	Jun. 26, 2001	Zuniga et al.			
	DEE	DOCUMENT	FOREIGN PA	TENT DOCUMENT	rs	SUB-	TRANSLATION
	REF	NUMBER	DATE	COUNTRY	CLASS	CLASS	YES NO
		OTHER DOC	UMENTS (Including	g Author, Title, Date,	Pertinent Pag	es, Etc.)	
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EXAMINER				DATE CONSIDERED			